

FORM PTO-1390 (Modified) (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				RCA88796	
				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/581709</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/US97/23363</b>		INTERNATIONAL FILING DATE <b>18 December 1997</b>		PRIORITY DATE CLAIMED <b>18 December 1997</b>	
TITLE OF INVENTION <b>PROGRAM SIGNAL BLOCKING SYSTEM</b>					
APPLICANT(S) FOR DO/EO/US <b>JOSEPH WAYNE FORLER</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> <li>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>10. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>					
Items 13 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>20. <input checked="" type="checkbox"/> Other items or information:</li> </ol>					
RETURN RECEIPT POSTCARD					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

INTERNATIONAL APPLICATION NO.

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09/581709

PCT/US97/23363

RCA88796

21. The following fees are submitted:

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****\$840.00**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	13 - 20 =	0	x \$18.00
Independent claims	1 - 3 =	0	x \$78.00

**\$0.00****\$0.00**Multiple Dependent Claims (check if applicable). ☐**\$0.00****TOTAL OF ABOVE CALCULATIONS =****\$840.00**Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐**\$0.00****SUBTOTAL =****\$840.00**Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).**\$0.00****TOTAL NATIONAL FEE =****\$840.00**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐**\$0.00****TOTAL FEES ENCLOSED =****\$840.00****Amount to be:  
refunded****\$****charged****\$**☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

☒ Please charge my Deposit Account No. **07-0832** in the amount of **\$840.00** to cover the above fees.  
A duplicate copy of this sheet is enclosed.

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**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**JOSEPH S. TRIPOLI - PATENT OPERATIONS  
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SIGNATURE

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**40,677**

REGISTRATION NUMBER

DATE

PROGRAM SIGNAL BLOCKING SYSTEM

The present invention relates to a system for processing and outputting a program signal, such as a television or a radio program signal, and more particularly to a system for processing and outputting a program signal in accordance with auxiliary information included with the program signal.

In addition to the video and/or audio program portions of a particular television or radio program, program signals may include auxiliary information. The program and auxiliary information may comprise analog and/or digital signals. An analog signal, such as an NTSC standard television signal, may include auxiliary data during horizontal line intervals within the vertical blanking interval (VBI). For example, closed caption data is included in line 21, field 1 of the VBI and Extended Data Services (XDS) information is included in line 21, field 2 of the VBI. In digital systems, the video signals are usually transmitted in packets, or groups, of data words. Each packet includes identifying information and represents a particular type of information such as video, audio or auxiliary information. An example of a digital television system that processes a packetized digital television signal is the DSS® (Digital Satellite System) receiver manufactured by Thomson Consumer Electronics, Inc., of Indianapolis, Indiana.

One application of auxiliary information relates to the so-called "V-chip" technology which allows users to automatically block out objectionable material from their television receivers. In systems utilizing this technology, program related information included with the program signals provide advisory information about the respective programs. The program advisory information may take several forms, including, but not limited to program ratings that indicate recommended viewer age and/or content ratings that indicate the presence and/or level of objectionable material, such as sex, violence, language, etc., in the program. For example, a proposed program rating system is described in proposed EIA-744 specification entitled, "Transport of Content Advisory Information using Extended Data Service (XDS)."

The V-chip technology examines the program advisory information associated with each selected program signal against a user selected blocking criteria, such as a selected rating blocking level, and blocks out the program signal if the program advisory information meets the user selected blocking criteria. For example, if the blocking level is

set at "PG", all programs with a rating of "PG" and higher, such as "R", "NC-17" and "X", would be blocked. Blocking the program signal refers to preventing user access to an objectionable program by, for example, rendering the video black or otherwise indecipherable, muting the audio and disabling the display of program related closed caption. When the program advisory information indicates that objectionable material is no longer being received, the V-chip system unblocks the program channel by displaying the video, unmuting the audio and/or enabling the display of program related closed caption.

One difficulty associated with the V-chip technology described above is the delay time required for a television receiver to receive and decode program related information. Since program related information is transmitted periodically, in between video, audio and other information, a television receiver may experience a delay before receiving and decoding new program related information when a user selects a new channel. For example, the proposed ANSI/EIA-608A technical specification for the transmission of XDS Program Rating packet states that the Program Rating packet should be repeated at intervals no greater than 3 seconds unless delayed by closed caption data. Closed caption data always takes priority as required by law. So if field 2 captions are present, Program Rating packet transmissions may be delayed longer than 3 seconds. Therefore, when a user selects a new channel, the television receiver may take several seconds to detect and decode the new program related information and take appropriate blocking action. The delay is a noticeable period during which a possibly objectionable program remains unblocked and may be viewed by unintended audiences.

A user may attempt to exploit the above described delay and bypass such a blocking feature by repeatedly tuning to a particular channel to view or listen to portions of an objectionable program before the blocking feature can be activated. For example, the user may continually re-select the same channel or continually switch between two or more channels to view or listen to significant portions of an objectionable program.

Therefore, what is needed is a system for preventing a user from bypassing the blocking feature in a system which uses program related information included with the program signal. In particular, what is needed is a system for preventing a user from exploiting the delay

associated with detecting, decoding and examining program related information, in order to bypass the blocking feature.

The present invention overcomes the difficulties noted above by providing a system which prevents a user from exploiting the time delay noted above. The present invention is particularly suitable for use in signal output apparatuses that can tune to one of a plurality of signal channels and provide video and/or audio signal outputs. Such apparatuses include, but are not limited to, television receivers, digital satellite receivers, VCR's, video disk players and audio signal receivers.

10 The present system comprises a signal processor which provides an output signal associated with a program signal of a selected signal channel and controls user access to the program signal in response to a determination of whether program related information included in the program signal meets a user selected blocking criteria. User selected  
15 blocking criteria can take many forms including, but not limited to a particular rating blocking level, in which case the system prevents user access to the output signal if the received program related information equals or exceeds the user selected rating blocking level. Program related information may include, but is not limited to, recommended viewer age  
20 ratings, program content ratings, program title and program summary. Upon activation of an appropriate blocking mode, if a new signal channel is selected and a predetermined sequence of signal selections is detected, the processor automatically controls the output signal associated with the newly selected channel in a predetermined manner to prevent user  
25 access to the program associated with the newly selected channel, and continues such control at least until the processor determines whether the blocking criteria is met with respect to the newly selected channel. Preferably, the signal processor continues such control of the output signal at least until the program related information is determined and  
30 compared with a user selected blocking level.

The output signal is controlled in a predetermined manner to prevent user access to the selected program signal. It is to be understood that such manner of control includes any processes and modification to the program signal that render the program, or portions of the program  
35 visually and/or aurally incomprehensible to the user, including, but not limited to, interrupting the signal path, replacing the normal program signal with a blocking level, scrambling the output signal and/or replacing the output signal with an On Screen Display (OSD) signal representing a displayed message indicating activation of the blocking feature. Such

control methods advantageously result in, for example, blanking the video portion, muting the audio portion, and disabling the closed captions associated with the program.

The predetermined sequence of channel selections may  
5 comprise a predetermined number of re-selections of the previously selected channel and/or re-selections of two or more channels. The present system may be configured such that the above-noted manner of preventing user access only occurs if the newly selected channel had a previously detected program related information which met the user  
10 selected blocking criteria. By automatically controlling the output signal in the above-noted manner when a predetermined sequence of signal channel selections is detected, the present invention prevents a user from accessing objectionable material during the delay time when the program related information is detected and a determination of whether the  
15 blocking criteria is met is performed.

The present system may also be configured such that the blocking feature is active only if a user selects an appropriate blocking mode.

The present system may also be configured such that the  
20 blocking feature is activated only if at least a particular number of predetermined sequences of signal selections occurs over a particular period of time.

The invention will be described with reference to the accompanying drawings, wherein:

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Fig. 1 is a block diagram of a television system embodying principles of the present invention;

Fig. 2 is a flowchart showing the steps for changing a channel in a television system which does not provide protection against attempts  
30 to bypass a program related information based blocking system; and

Figs. 3-4 are flowcharts showing the steps for changing a channel in a television system in accordance with the present invention which provides protection against attempts to bypass a program related information based blocking system.

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An exemplary television receiver system 10 shown in Figure 1 includes input terminal 100 which receives radio frequency (RF) television signals, e.g., from an antenna or cable, and applies the television signals to tuner assembly 105. Tuner assembly 105 selects and

amplifies a particular RF signal associated with the selected television channel in response to control signals from controller 110. As used herein, the terms "controller", "control processor", "microprocessor" (or  $\mu P$ ), and "microcomputer" (or  $\mu C$ ) are equivalent and refer to devices which may or may not be in integrated circuit (IC) form and are capable of performing control functions.

As shown in Fig. 1, controller 110 includes central processing unit (CPU) 112, ROM 114 and RAM 116 interconnected via bus 111 and operating in a manner typical of microcomputers such as the ST9 integrated circuit (IC) manufactured by SGS Thomson, Inc. CPU 112 generates control signals to control the output of system 10 in response to software instructions stored in ROM 114 and EEPROM 127 and in response to user-provided command codes received through keyboard 120 and/or infra-red (IR) receiver 122. Controller 110 receives command codes from IR remote control 125 via IR receiver 122.

Control signals generated by controller 110 are provided to other components of system 10, such as tuner 105, via a control bus represented by the path labeled CTRL\_BUS in Fig. 1. A typical implementation of the control bus shown in Fig. 1 includes, but is not limited to, a two-wire serial bus based on the Inter-Integrated Circuit (IIC or I2C) bus protocol supported by Philips.

IR remote control 125 and/or keyboard 120 permit a user to select a particular blocking criteria for automatically blocking objectionable material. The blocking criteria can take many forms including, but not limited to, blocking a program if an associated program related information, such as program ratings, equals or exceeds a user set information or ratings level. An example of an alternative blocking criteria is activating blocking in response to detecting a user-specified word or words in title or program description information included in the program related information. The user selected blocking criteria, which may be a ratings level as noted above, is stored in controller 110 and examined by CPU 112 to block or unblock a program as described further below. The user selection can be made, for example, by selecting a particular blocking mode and/or blocking level from an On Screen Display menu.

When a user selects a particular television channel by activating either keyboard 120 or remote control 125, controller 110 produces a control signal on bus CTRL\_BUS which causes tuner 105 to tune to the RF signal of the selected channel. Tuner 105 outputs an intermediate

frequency (IF) signal corresponding to the tuned channel to IF processor 130. IF processor 130 includes circuits such as, but not limited to, a video IF (VIF) amplifying stage, an AFT circuit, a video detector, and a sound IF (SIF) amplifying stage for processing the IF signal to produce baseband composite video signal VIDEO and sound carrier signal AUDIO. Audio signal processor 135 includes an audio detector and a stereo decoder that process signal AUDIO to produce a baseband audio signal suitable for driving speaker unit 136. Baseband composite video signal VIDEO from IF processor 130 is applied to video switch 140. Another video signal VIDEO IN may be provided to the present system by an external signal source, for example a VCR, via signal input 102. The external signal source may also provide an audio signal AUDIO IN via signal input 101. Controller 110 controls the respective outputs of video switch 140 and audio processor 135 in response to user selection of the signal source. It is to be understood that a plurality of external signal inputs may be provided to accommodate a plurality of external signal sources, and video signal switch 140 and audio processor 135 may be modified as necessary to allow user selection of a particular external signal source.

Depending on the user selection, video switch 140 provides signal VIDEO1, which is associated with one of the input signals, to video signal processor 155. Video signal processor 155 processes video signal VIDEO1 to produce red, green and blue (R, G and B) output signals RGB which are suitable for coupling to an image display device. Video signal processor 155 performs processing to provide, inter alia, automatic chroma and luma control and enable user-controlled features such as contrast and brightness control.

The RGB signals produced by video signal processor 155 are amplified to appropriately high voltage levels by kinescope driver amplifiers 156 before being coupled to kinescope 158 to display the desired image. The display on kinescope 158 is controlled by vertical and horizontal deflection signals VR and HR, respectively, that are coupled to deflection coils of kinescope 158. Deflection unit 170 produces signals HR and VR in response to horizontal and vertical sync signals HS and VS, respectively, that are separated from the synchronization component of output baseband signal VIDEO. Those parts of the system in Fig. 1 that have been described thus far are known, for example, from the CTC-197 color television chassis manufactured by Thomson Consumer Electronics, Indianapolis, Indiana.



The system shown in Fig. 1 also includes an auxiliary data processor 115 for detecting and decoding various auxiliary information, including program related information, such as program ratings and/or content ratings, included with a video signal. Also, closed caption decoding functions may be included within auxiliary data processor 115 which is coupled with On Screen Display (OSD) processor 117 in order to insert closed caption information into the appropriate portions of the video display. Data processors for extracting auxiliary information from a video signal are conventionally known and typically include a data slicer, timing signal generators, control mechanisms, decoders and memory, among other things. Any one of a number of such conventionally known auxiliary data processors may be used in the present television system. For example, a system suitable for extracting auxiliary information from a video signal is disclosed in U.S. Pat. No. 5,561,469 entitled, "Auxiliary Video Data Slicer with Multi-Mode Peak Detection for Determining Sync and Data Slicing Levels."

Auxiliary data processor 115 detects and decodes program related information, such as program ratings, included in signal VIDEO1, and provides the program related information to CPU 112. CPU 112 examines the detected program related information and determines whether a user selected blocking criteria is met, for example, by comparing the detected program related information with a user selected blocking level and determining whether the detected program related information equals or exceeds the user selected blocking level.

If the user selected blocking criteria is met, CPU 112 renders the program inaccessible by controlling the output signal in a predetermined manner. It is to be understood that such manner of control includes any processes and modification to the program signal that render the program, or portions of the program visually and/or aurally incomprehensible to the user as noted above. For example, controller 110 provides control signals to disable the output of audio processor 135, disable closed caption output and replace the normal program signal with an appropriate OSD signal, such as a uniform blue screen including a message indicating activation of the blocking feature.

If the detected program related information does not meet the user selected blocking criteria, CPU 112 allows video signal processor 155 and audio processor 135 to provide output signals associated with the received signals in the conventional manner.

Fig. 2 is a flowchart showing the steps taken by a television system which is not configured to prevent a user from exploiting the delay discussed above. When a user initiates a channel change in step 200, the television system performs a channel blanking in step 202, tunes 5 to a newly selected channel in step 204, and then unblanks the television display in step 206. That is, the newly selected channel is momentarily blanked while the channel is being tuned, but is immediately unblanked after tuner 105 tunes the program signal associated with the new channel.

10 After the new channel has been tuned and unblanked, the television system determines whether the V-chip channel blocking feature is enabled in step 208. If not, the system exits from the program in step 210. If the blocking feature is enabled, the system sets a V-chip Packet Timer to zero and begins incrementing the timer in step 212. The 15 television system then monitors line 21 XDS data for program related information in step 214.

Once a valid XDS program related information packet has been detected and decoded in step 216, the system resets the V-chip Packet Timer to zero and begins incrementing the timer in a predetermined 20 manner in step 220. The system then compares the newly detected program related information with a user selected blocking level in step 222 to determine whether the user selected blocking criteria is met. If the blocking criteria is met, i.e., the newly detected program related information equals or exceeds the user selected blocking level, the system 25 blocks user access to the channel in step 226 by controlling the output signal as described above, and returns to step 214 to repeat the process of detecting and comparing program related information and blocking/unblocking the channel as necessary. If the blocking criteria is not met, i.e., the newly detected program related information is less than 30 the selected blocking level in step 222, the system allows user access to the program associated with the newly selected channel and returns to step 214 to repeat the monitoring and blocking process as noted above.

Also, if the television system fails to detect a new program related information within a predetermined period of time as determined 35 by the incrementing of the V-chip Packet Timer, the television system will allow user access to the channel, or maintain the channel unblocked, and return to step 214 to repeat the process as noted above. Therefore, the television system continually attempts to detect new program related

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information and determine whether a user selected blocking criteria is met to control user access to the received program signal.

However, it can be seen that user access to the channel occurs in step 206 prior to the detection of new program related information and determination of whether the blocking criteria is met when a user selects a new channel. As discussed above, a significant amount of time may elapse before new program related information is detected and processed, thereby possibly allowing unintended access to objectionable material.

10 Figs. 3-4 are flowcharts showing the steps performed by a system according to the present invention which provides protection against a user's attempt to exploit the above-noted delay. The features shown in Figs. 3-4 can be enabled and controlled by a user, e.g., by using an On Screen Display menu. Access to enable and control the blocking features may be password protected, i.e., require a user to enter a correct  
15 password to prevent unauthorized users from modifying previously established settings. Methods for incorporating these features and the steps described below may be programmed into controller 110 using conventionally known methods.

Again, when a user initiates a channel change in step 230, the present system performs a channel change blanking in step 232 and tunes in the new channel in step 234. However, after tuning in the new channel, and before unblanking the television display, the present system determines whether the program related information based channel blocking feature is enabled by the user in step 236. If not, the system allows user access to the channel in step 264 and exits the program in step 266.

If the channel blocking feature is enabled, the present system determines in step 238 whether the newly selected channel is the same as the previously selected channel in step 238. This determination may provide an indication that the user is continually re-tuning to the same channel in an attempt to bypass the program related information based blocking feature. If the newly selected channel is the same as the previously selected channel, the system may go through 2 additional optional steps or go immediately to step 268 wherein user access to the new channel is prevented and the process of determining a program related information and whether the user selected blocking criteria is met is performed thereafter.

In the first optional step 246, the system determines whether the newly selected channel previously contained objectionable material as

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indicated by its previously detected program related information. Using previously detected program related information enables the required program related information to be quickly determined. Step 246 may further include a determination of whether the newly selected channel's

5 previously detected program related information was detected within a predetermined period of time. This prevents a decision to blank/unblank a channel from being based on program related information that is no longer relevant. For this purpose, the program related information of the previously selected channel and/or the channel previous to the

10 previously selected channel may be stored in controller 110 for a predetermined period of time.

Alternatively, a counter or a timer may be used to determine how long ago a stored program related information was determined. Conventional methods may be used to store a previously detected

15 program related information and determine how long ago the information was detected. If the newly selected channel's previously detected program related information did not indicate objectionable material within a predetermined period of time, the present system allows user access to the channel through steps 242 and 244. It can be seen that

20 optional step 246 allows the user to quickly switch back to a previously selected channel when the user does not intend to bypass the program related information based blocking system.

If the present system determines in optional step 246 that the newly selected channel's previously detected program related

25 information indicated objectionable material, optional step 261 may be inserted to determine whether the user is repeatedly re-tuning to the same channel. Continually re-tuning to the same channel for at least a predetermined number of times is further indication that the user is trying to bypass the program related information based blocking feature.

30 Requiring a predetermined number of re-tunes may avoid inconvenience to users not attempting to bypass the blocking feature.

Optional step 261 comprises updating a Same Channel Counter in step 260 and comparing the Same Channel Counter with a preselected value, which may be preprogrammed or user selectable. If the Same

35 Channel Counter is less than the preselected/preprogrammed value, the system allows user access to the channel in step 244. However, if the Same Channel Counter is equal to or exceeds the preselected/preprogrammed value, the present system prevents user access to the new channel and proceeds to detect the program related

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information and determine whether the user selected blocking criteria is met in steps 272-284.

It can be seen that steps 270-284 correspond to steps 212-226 of the system described above in relation to Fig. 2. Essentially, the present system seeks to continuously detect program related information within a predetermined amount of time, then determine whether a user selected blocking criteria is met by comparing the detected program related information with a user selected program related information and controls user access to the selected channel based on the determination.

Further, the present system provides an additional layer of protection against attempts to bypass the program related information blocking feature by continually switching between two different channels. This protection is provided by steps 240-244. Once the system determines in step 238 that the newly selected channel is not the same as the previously selected channel, step 240 determines whether the newly selected channel is the same as the channel selected immediately prior to the previously selected channel. An affirmative response to step 240 indicates that the user may be switching back and forth between two channels to exploit the delay time discussed above. In that case, the system again goes to optional step 246 to determine whether objectionable material was indicated in the channel selected prior to the previously selected channel, and if so, prevents access to that channel. Again optional step 261 may be inserted to allow a predetermined number of channel switches before the program related information based blocking feature is activated. If optional steps 246 and 261 are not present, the system goes to step 268 to keep the new channel blanked.

It can be seen that step 240 may be modified as necessary to prevent the user from bypassing the program related information based blocking system by rotating through a predetermined number of channels.

If the newly selected channel is not the same as either the previously selected channel or the channel previous to the previously selected channel, the present system sets the Same Channel Counter to 0 in step 242, allows user access to the channel in step 244 and goes into the routine for detecting program related information and determining whether a user selected blocking criteria is met as indicated in steps 270-284.

It will be apparent to those skilled in the art that although the invention has been described in terms of an exemplary embodiment,

modifications and changes may be made to the disclosed embodiment without departing from the essence of the invention.

It is herein recognized that the channel blanking described above may be accomplished using any one of a number of conventionally known methods, or combination of methods, for example by using the normal channel change blanking mechanism already built into a signal receiver together with another blanking mechanism to provide additional blanking beyond the normal channel change blanking period, or by using the normal channel change blanking mechanism wherein the blanking period is extended as desired.

Also, the present series of steps shown in Figs. 3-4 may be implemented for a variety of signal formats, both analog and digital, wherein auxiliary information is included with program signals. The above-described system and method may be adapted for use with any signal receiving system which can tune to one of a plurality of signal channels and provide an output signal associated with the tuned signal channel. As such, the present invention may be adapted for use with, but is not limited to, televisions adapted for NTSC, PAL or SECAM signals, digital television receivers, such as digital satellite signal receivers and HDTV receivers, VCRs, and internet based audio/video program systems.

Further, the present apparatus may be modified to compile a record of the number of attempts to re-select a channel that often includes objectionable programs. The compiled record may than be used to automatically block out certain channels or give the user an option of locking out certain channels.

Additionally, the present apparatus may be modified to control the output signal in a predetermined manner based on the number of attempts to re-select a particular channel in a given time period. Again, such an apparatus may be equipped with a recording mechanism to provide a record of the sequence of channel selections and to automatically block out or give the user an option of blocking out certain channels. Using the present apparatus in conjunction with a timer and a record of the sequence of channel selections, the apparatus may automatically block out or give the user an option of blocking out certain channels during certain time period.

Further, the present apparatus may be adapted for use with a television system having multiple signal inputs, wherein the apparatus automatically blocks an output signal associated with an external signal source, upon user selection of the external signal source, at least until

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program related information associated with a program signal from the external signal source is detected, and a determination of whether the user selected blocking criteria is met for the program signal from the external signal source is performed.

5                   Therefore, it is to be understood that the present invention is intended to cover all modifications as would fall within the true scope and spirit of the present invention.

## CLAIMS

1. An apparatus, comprising:  
a data receiver (120,122) for receiving a signal channel  
5 selections from a user;  
a signal input (100,105) for receiving a program signal  
associated with one of a plurality of signal channels, said signal input  
selecting one of said plurality of signal channels in response to said signal  
channel selection;  
10 a signal output (RGB OUT) for providing an output signal  
derived from said program signal;  
an auxiliary data decoder (115) for detecting program related  
information included in each said program signal; and  
a processor (112) operatively connected to said data receiver,  
15 said signal input, said signal output and said auxiliary data decoder,  
wherein said processor controls said output signal in a predetermined  
manner to reduce user access to said program signal when a  
predetermined sequence of signal channel selections is received.
- 20 2. The apparatus according to claim 1, wherein said processor  
controls said output signal in said predetermined manner for at least until  
said program related information has been determined when said  
predetermined sequence of signal channel selections is received.
- 25 3. The apparatus according to claim 1, wherein said program  
signal is a television signal.
4. The apparatus according to claim 1, wherein said program  
signal comprises a plurality of digital signal packets.
- 30 5. The apparatus according to claim 1, wherein said  
predetermined sequence of signal channel selections comprises a  
predetermined number of consecutive selections of a particular signal  
channel.
- 35 6. The apparatus according to claim 1, wherein said  
predetermined sequence of signal channel selections comprises a  
predetermined number of consecutive selections of said particular signal  
channel, a second signal channel and said particular signal channel.



7. The apparatus according to claim 1, wherein said processor controls said output signal in said predetermined manner when said predetermined sequence of signal channel selections is received and a first blocking mode has been selected.

8. The apparatus according to claim 7, wherein said processor is capable of providing an On Screen Display menu for allowing user selection of said first blocking mode.

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9. The apparatus according to claim 8, wherein said processor is capable of providing a restricted access On Screen Display menu for allowing user selection of said first blocking mode.

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10. The apparatus according to claim 9, wherein access to said restricted access On Screen Display menu is password protected.

11. The apparatus according to claim 1, wherein said predetermined manner of control comprises one of blanking the video signal, replacing the video signal with an On Screen Display message, muting the audio signal and disabling associated closed captions.

12. The apparatus according to claim 1, wherein said processor controls said output signal in said predetermined manner when said predetermined sequence of signal channel selections is detected, unless said program related information was previously determined to be within an acceptable level within a predetermined period of time.

13. The apparatus according to claim 1, further comprising a second signal input (101,102) for receiving a second program signal from an external signal source, and a switch (140) operatively connected to said signal input, said second signal input, said signal output and said signal processor, said switch operatively coupling a respective one of said program signal and said second program signal with said signal output in response to a signal source selection from the user, wherein said signal processor controls said output signal in a predetermined manner to reduce user access to said output signal for at least until said program related information has been determined when a new signal source selection is received

16  
ABSTRACT

An apparatus and a method for preventing a user from bypassing a viewer blocking system using program related information, such as content ratings, included in a program signal. The present invention prevents the user from exploiting the time delay associated with detecting, decoding and comparing the program related information by switching channels to observe portions of objectionable programs before the blocking system can react. The present system comprises a processor (110) which controls the output of a selected program signal (VIDEO, VIDEO IN, AUDIO IN) in response to a determination of whether an associated program related information meets a user selected blocking criteria. The processor blocks display of a program signal when a predetermined sequence of signal channel selections is detected and then continues to block the display of the program signal at least until the determination is performed. The predetermined sequence of signal channel selections may comprise continual re-tuning of the same channel and/or switching between two channels. The present method comprises detecting a particular sequence of signal channel selections and blocking the display of the program signal upon detecting the predetermined sequence. If the predetermined sequence of signal channel selections is detected the display blocking is maintained at least until a program related information associated with the program signal is detected and a determination of the satisfaction of a user selected blocking criteria is performed.

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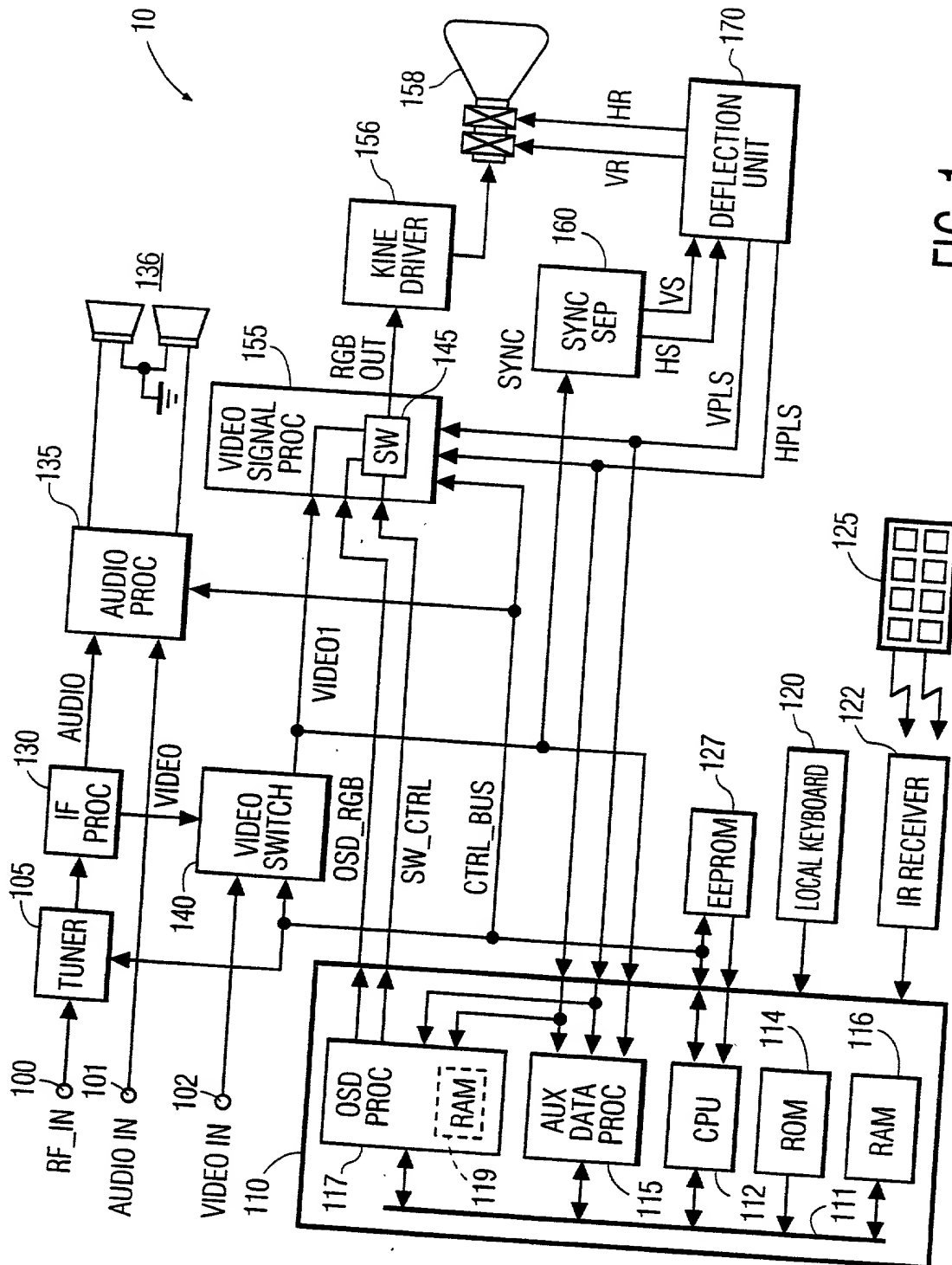


FIG. 1

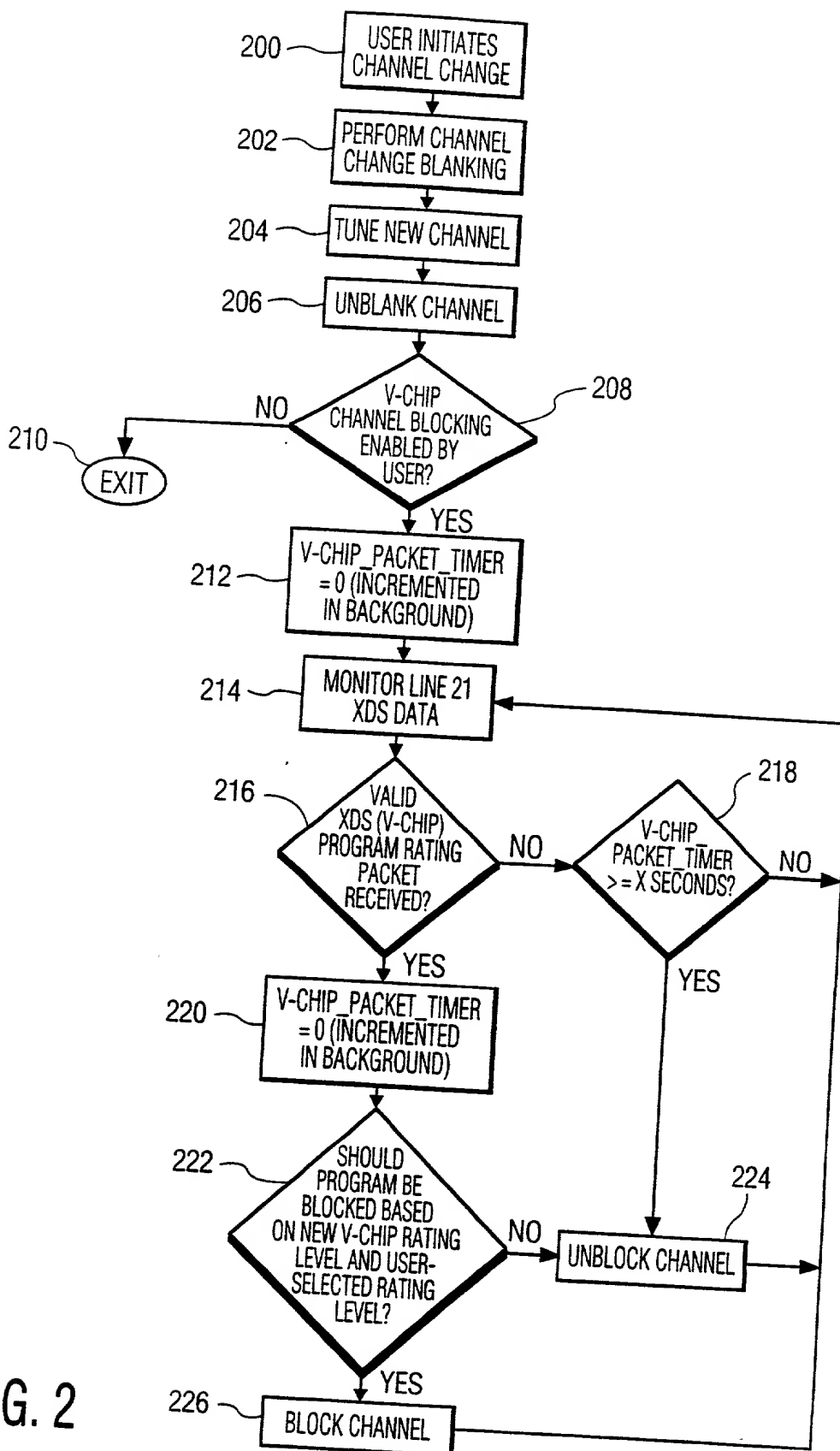


FIG. 2

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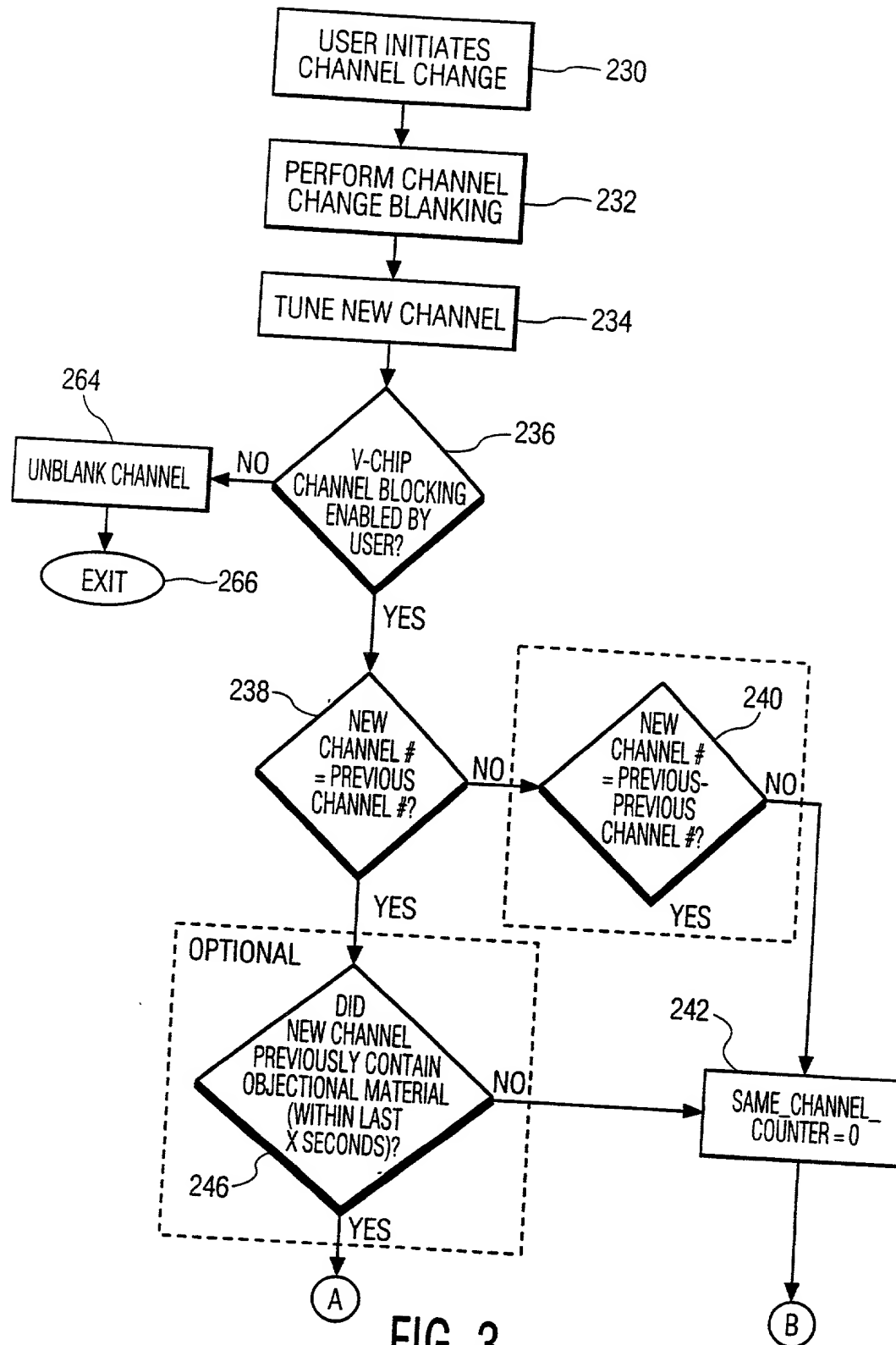


FIG. 3

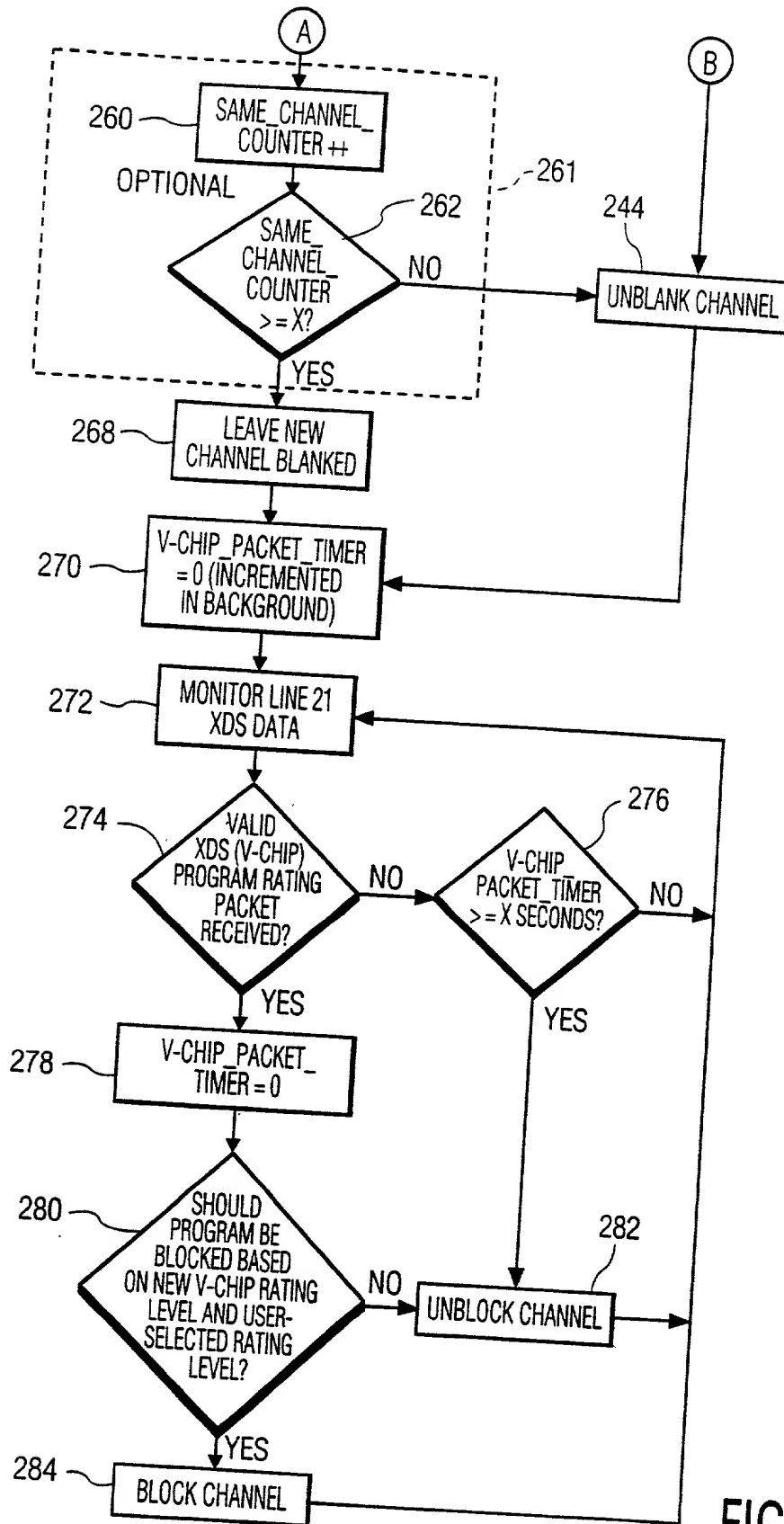


FIG. 4

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

RCA 88796

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROGRAM SIGNAL BLOCKING SYSTEM

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. \_\_\_\_\_

on \_\_\_\_\_,

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

Number PCT/US97/23363

on 18 December 1997 ✓

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

## PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

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			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

## Combined Declaration For Patent Application and Power of Attorney (Continued)

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09/581709  
88796

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

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U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		
CT/US97/23363	18 December 1997 (18.12.97)			

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

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Joseph J. Laks  
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- Reg. No. 26,040  
- Reg. No. 27,914  
- Reg. No. 40,677

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1-609-734-9650

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POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
Joseph Wayne Forler		
9/26/ 2000	DATE	DATE



**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

RCA 88796

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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Number PCT/US97/23363

on 18 December 1997

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**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO